

**RESONATOR AND COUPLING METHOD AND APPARATUS FOR
A MICROSTRIP FILTER**

5 This application is being filed as a PCT International patent application in the name of Conductus, Inc., a U.S. national corporation, applicant for the designation of all countries except the US, and Shen Ye, a resident of the U.S. and a citizen of Canada, applicant for the designation of the U.S. only, and claims priority to U.S. application Serial No. 60/362,596, filed March 8, 2002.

10 **Field of the Invention**

This invention generally relates to the field of filters. More particularly, it relates to the field of microwave band filters. Still more particularly, it relates to the field of very-narrow band, microstrip, superconductive band-pass filters.

15 **Background of Invention**

Narrowband filters are particularly useful in the communications industry and particularly for wireless communications systems which utilize microwave signals. At times, wireless communications have two or more service providers operating on separate bands within the same geographical area. In such instances, it is essential
20 that the signals from one provider do not interfere with the signals of the other provider(s). At the same time, the signal throughput within the allocated frequency range should have a very small loss.

Within a single provider's allocated frequency, it is desirable for the communication system 20 to be able to handle multiple signals. Several such
25 systems are available, including frequency division multiple access (FDMA), time division multiple access (TDMA), code division multiple access (CDMA), and broad-band CDMA (b-CDMA). Providers using the first two methods of multiple access need filters to divide their allocated frequencies in the multiple bands. Alternatively, CDMA operators might also gain an advantage from dividing the
30 frequency range into bands. In such cases, the narrower the bandwidth of the filter, the closer together one may place the channels. Thus, efforts have been previously made to construct very narrow bandpass filters, preferably with a fractional-band width of less than 0.05%.

An additional consideration for electrical signal filters is overall size. For
35 example, with the development of wireless communication technology, the cell size (e.g., the area within which a single base station operates) will get much smaller -- perhaps covering only a block or even a building. As a result, base station providers will need to buy or lease space for the stations. Since each station requires many separate filters, the size of the filter becomes increasingly important in such an

environment. It is, therefore, desirable to minimize filter size while realizing a filter with very narrow fractional-bandwidth and high quality factor Q.

Microstrip filters have the advantages of small size and low manufacturing costs. However, microstrip filters constructed of conventional metals suffer a much higher loss than other technologies (e.g., such as waveguide, dielectric resonator, combline, etc.), and especially in very narrow bandwidth filters. With high-temperature superconductive ("HTS") thin film technology, microstrip filters using HTS materials can achieve extremely low loss and superior performance. Therefore, use of HTS microstrip filters is particularly useful for very-narrow band filters.

Using microstrip technology for narrow bandpass filter design, the spacing between the resonators usually determines the amount of coupling between the resonators. As the spacing increases, the coupling decreases and, therefore, the bandwidth becomes narrower. For very-narrow band filters, the spacing between resonators can be quite substantial. Techniques have been developed in the prior art to reduce the required spacing. For example, in a lumped element type resonator environment (see Zhang, et al. U.S., Patent Application 08/706,974, and Ye, U.S. Patent Application 09/699,783); and in a distributed element type resonator environment (see Tsuzuki, et. al., U.S. Provisional Application 60/298,339), all assigned to the assignee of the current invention. These techniques have been shown to be successful in effectively reducing the spacing between resonators for very-narrow band filters in the respective environments. However, the techniques may not be effective (using the same structure), when the required bandwidth of the filter becomes large. Where a broader bandwidth is desired, closer spacing between resonators is required. In some cases, the spacing may become too small from manufacturability point of view, i.e., lithography, sensitivity, yield, etc.

It is also known that to reach higher filter rejection performance while maintaining a minimal number of resonators, couplings between non-adjacent resonators can be applied to realize transmission zeros. For example, see MICROSTRIP CROSS-COUPLING CONTROL APPARATUS AND METHOD, filed April 2, 1999, and receiving Serial No. 09/285350, which application is commonly assigned to the assignee of the present application. Such application being incorporated herein and made a part hereof by reference. These transmission zeros can be placed at strategic locations to achieve optimal filter performance. Besides actual cross coupling value, the precise transmission zero location depends on the phase of these cross couplings, i.e., whether it is positive cross coupling or negative cross coupling. Therefore, cross coupling can be utilized to improve filter performance.

Therefore, there exists a need for a very-narrow bandwidth filter having the convenient fabrication advantage of microstrip filters while achieving, in a small filter, the appropriate coupling. Further, the appropriate coupling should take advantage of cross-coupling between non-adjacent resonators to introduce
5 transmission zeros which provide an optimized transmission response of the filter.

Summary of the Invention

The present invention provides for a method and apparatus to provide appropriate coupling between resonators in an HTS microstrip filter. The present
10 invention uses the concept of primary and secondary couplings between a pair of resonators. With a given spacing, the primary coupling is fixed, while the secondary coupling can have different magnitude. In addition, the secondary coupling can have the same phase or opposite phase as the primary coupling. With different combinations, large or small bandwidth filters can be made without very small or
15 very large spacing between adjacent resonators. The same cross coupling layout configuration may be designed to achieve either positive or negative results.

One feature of the present invention is that the resonator is designed to have both ends accessible from one side of the resonator. Because of the current flow in a resonator, orienting the two ends of the resonator toward the same side allows the
20 primary and secondary coupling to be added or subtracted from one another through relatively simple design changes. Another feature includes arranging and configuring a first end of the resonator with a substantially larger interface to the adjacent resonator than the second end of the resonator. The primary coupling between the resonator is generally associated with the first larger interface end of the
25 resonator to the adjacent resonator. The secondary coupling is generally associated with the second smaller interface end of the resonator to the adjacent resonator, but the secondary coupling may also be assisted by an additional coupling strip.

Therefore, according to one aspect of the invention, there is provided a resonator apparatus, of the type used in filters for an electrical signal, comprising: a
30 first resonator device, having a first end and a second end; a second resonator device; and wherein the first end and the second end are arranged and configured to lie on the same side of the first resonator and proximate the second resonator, and wherein the distance of the first end from the second resonator creates a primary coupling between the first and second resonators, and the distance and length of the
35 second end creates a secondary coupling between the first and second resonators, whereby the overall distance of the first and second resonators from one another may be optimized by controlling either the primary or secondary coupling.

According to a further aspect of the invention, there is provided one or more of the following additional features in accordance with the preceding paragraph: wherein the first and second resonator devices are constructed in an HTS microstrip configuration; wherein the first end is arranged and configured to provide a substantially larger interface to the second resonator than the second end; further comprising a coupling strip which couples the second end to the second resonator; and/or wherein the micro-strip topology includes a dielectric substrate of either MgO, LaAlO₃, Al₂O₃, or YSZ.

According to another aspect of the invention, there is provided a filter for electrical signals, comprising: a plurality of resonators, at least one resonator having a first end and a second end; and the first end and the second end being arranged and configured to lie on the same side of the at least one first resonator and proximate a second resonator, and wherein the distance of the first end from the second resonator creates a primary coupling between the at least first and second resonators, and the distance and length of the second end creates a secondary coupling between the at least first and second resonators, whereby the overall distance of the at least first and second resonators from one another may be optimized by controlling either the primary or secondary coupling.

According to still another aspect of the invention, there is provided a filter for electrical signals, comprising: a first resonator device; a second resonator device; a coupling strip between the first and second resonators; and the first resonator device and the second resonator device having a primary coupling and a secondary coupling between the first and second resonators, wherein the overall distance of the first and second resonators from one another establishes the primary coupling and the distance between the coupling strip and the overlap with the first and second resonators establishes the secondary coupling, whereby the distances between adjacent resonators may be optimized by controlling either the primary or secondary coupling.

In an additional aspect of the invention, there is provided a method of controlling coupling in an electric signal filter, having a first and second resonator and a coupling strip, comprising the steps of: determining the primary coupling between the first and second resonators based on the desired distance between the first and second resonators; determining the desired secondary coupling in order to arrive at the total desired coupling between the first and second resonators; and determining the distances and lengths of the coupling strip from the first and second resonators to achieve the determined secondary coupling $F2$, where $F2$ is a function of $S2a$, $S2b$, $L2a$ and $L2b$, and $S2a$ is defined as the distance between the coupling strip and the first resonator, $L2a$ is the length of the coupling strip which lies

adjacent the first resonator, $S2b$ is the distance between the coupling strip and the second resonator, and $L2b$ is the length of the coupling strip which lies adjacent the second resonator, the primary coupling $F1$, wherein the total coupling between the first resonator and the second resonator, F , is defined by:

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$$F = F1(S1) + F2(S2a, S2b, L2a, L2b).$$

In a further aspect of the invention in accordance with the preceding paragraph, there is provided the additional step of locating at least one non-adjacent resonator device and a coupling strip between the first resonator and the at least one non-adjacent resonator device.

These and other advantages and features which characterize the present invention are pointed out with particularity in the claims annexed hereto and forming a further part hereof. However, for a better understanding of the invention, the advantages and objects attained by its use, reference should be made to the drawings which form a further part hereof, and to the accompanying descriptive matter, in which there is illustrated and described preferred embodiments of the present invention.

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Brief Description of the Drawings

In the Drawings, wherein like reference numerals and letters indicate corresponding elements throughout the several views:

Figures 1 a, 1b and 1 c show three different conventional microstrip filter sections wherein the coupling between the two resonators is determined by the gap size "S".

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Figure 2 shows a microstrip filter section wherein the coupling between the two resonators is determined by the gap size "S".

Figure 3 illustrates schematically the first and second gap sizes $S1$ and $S2$ respectively between resonators of an HTS microstrip filter according to the principles of the present invention.

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Figure 4 illustrates schematically an alternative embodiment of the first and second gap sizes $S1$ and $S2$ respectively between resonators of an HTS microstrip filter according to the principles of the present invention, wherein the gaps $S2a$, $S2b$ and lengths $L2a$ and $L2b$ can be adjusted to control the amount of secondary coupling.

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Figures 5a, 5b and 5c illustrate a number of variations which can be employed to control the secondary coupling $S2$ between the resonators.

Figure 6 illustrates a 6-pole filter which employs the principles of the present invention.

Figure 7 graphically illustrates the measured response of the 6-pole filter of Figure 6.

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Detailed Description

The principles of this invention apply to the filtering of electrical signals. The preferred apparatus and method of the present invention provides for control of placement of transmission zeroes to provide greater skirt rejection and optimize the transmission response curve of the filter. Means are provided to increase or decrease the coupling between resonator elements in order to control the zeroes. A preferred use of the present invention is in communication systems and more specifically in wireless communications systems. However, such use is only illustrative of the manners in which filters constructed in accordance with the principles of the present invention may be employed.

The present invention provides for a method and apparatus to provide appropriate coupling between resonators in an HTS microstrip filter. The present invention utilizes primary and secondary couplings between a pair of resonators. With a given spacing, the primary coupling is fixed, while the secondary coupling can have different magnitude. In addition, the secondary coupling can have the same phase or opposite phase as the primary coupling. With different combinations, large or small bandwidth filters can be made without very small or very large spacing between resonators. The same cross coupling layout configuration may be designed to achieve either positive or negative results.

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Turning first to Figures 1 a, 1 b, and 1 c, these figures generally illustrate conventional microstrip filter sections wherein the coupling between the two resonators is determined by the gap size "S". By varying the gap size "S", the coupling increases or decreases and thereby affects the bandwidth. Figure 2 also illustrates a prior art microstrip filter section. In this figure, the coupling between the two resonators is also determined by the gap size "S". However, the coupling in Figure 2 differs from the couplings in Figure 1 since, for the same gap size "S", the amount of coupling between the two resonators can be effectively reduced depending on the value of the series capacitor realized through the long, narrow finger interdigital capacitor form.

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Turning now to Figure 3, a schematic diagram of two adjacent resonators are illustrated, the resonators being arranged and configured in accordance with the principles of the present invention. The coupling between the first resonator 10 and

the second resonator 11 is comprised of two parts. The first part of the coupling, controlled by gap size $S1$, is the primary coupling. The second part of the coupling, controlled by both gap size $S2$ and length L , is the secondary coupling. The total coupling between the two resonators is the combination of the first and second parts of the couplings. However, adjusting $S1$ while keeping $S2$ and L fixed directly affects the resonator length, i.e., the resonating frequency. And the same applies to adjusting $S2$ and L .

Figure 4 illustrates an alternative embodiment in which adjustments of $S1$ and/or $S2$ and L do not affect resonator length (and thereby the resonating frequency). The first and second resonators are identified as 20 and 21 respectively. Similar to Figure 3, the coupling between the two resonators 20, 21 is comprised of two parts. The first part, the primary coupling, is controlled by $S1$, the same as the one in Figure 3. However, the second part, the secondary coupling, is achieved through a coupling strip 23. By adjusting the gaps $S2a$, $S2b$ and lengths $L2a$ and $L2b$, the amount of secondary coupling can change within a wide range without affecting physical structure of both resonators.

In order to illustrate the considerations associated with designing the primary and secondary coupling for a resonator, Figure 4 may be used as an example. Without changing the resonators, the primary coupling $F1$ is a function of $S1$, and the secondary coupling $F2$ is a function of $S2a$, $S2b$, $L2a$ and $L2b$. The total coupling between Resonator 1 and Resonator 2, F , is then:

$$F = F1(S1) + F2(S2a, S2b, L2a, L2b) \quad (1)$$

As a resonator, the current flow towards the two ends of the resonator is always in opposite directions. For example in Figure 4, if current is flowing towards A of Resonator 1, current must be flowing out of B of Resonator 1 at the same time. The same applies to the electric charge build-up at both ends. Thus, at any time, A and B will have charges of opposite signs. This is due to the nature of the resonator, in particular, microstrip line resonators.

Therefore, $F1(S1)$ and $F2(S2a, S2b, L2a, L2b)$ will have different signs. The total coupling between Resonator 1 and Resonator 2 can have either the same sign as $F1$ or as $F2$, depending on the relative magnitude of $F1$ and $F2$.

For example,

$$F \approx F1(S1), \text{ for } |F2| \ll |F1| \quad (2)$$

$$F = 0, \text{ for } |F2| = |F1| \quad (3)$$

And

$$F = \text{sign}(F2) |F1|, \quad \text{for } |F2| = 2 |F1| \quad (4)$$

Recognizing such a wide range of possible couplings between the two resonators, especially the ability to change signs, provides many possibilities for filter design.

For narrow band filter designs, large resonator separations can be avoided by using the coupling cancellation feature of this invention where $|F2| = |F1|$ (e.g., the situation identified in equation (3) above). Further, it is achievable to have a uniform spacing between the resonators by adjusting coupling values identified in equation (1). More specifically, with a fixed $S1$, i.e., fixed $F1$, different F can be achieved by changing $F2$, i.e., $S2a$, $S2b$, $L2a$ and $L2b$.

Another important application of this invention is that the coupling sign or phase between the two resonators can be changed without changing the spacing between the two. From equations (2) and (4), when $S1$ is chosen and assume $F1$ is positive coupling:

$$F^* = F1 - |F2| \quad \text{if } F^* > 0, \text{ and } F1 > |F2| \quad (5)$$

Or

$$F^* = -|F2| + F1 \quad \text{if } F^* < 0, \text{ and } |F2| > F1 \quad (6)$$

Where F^* is the desired coupling and $|F^*| < F1$.

One of the challenges in filter design is to realize specific positive or negative cross couplings between non-adjacent resonators. With the ability to change coupling signs in accordance with the principles of this invention, the same cross coupling structure between non-adjacent resonators can be easily controlled to be either positive or negative.

Turning to Figures 5a, 5b, and 5c, a number of variations of resonators and a coupling strip utilized to generate the secondary coupling are shown. In Figure 5a, resonator $S1$ is adjacent resonator 52. The spacing between resonators $S1$ is identified in Fig. 5a and is a fixed spacing. Coupling strip 53 provides secondary coupling $S2$ as discussed in connection with Fig. 4 (e.g., $S2a$, $S2b$, $L2a$ and $L2b$).

The resonators 51 and 52 are arranged and configured to have both ends accessible from one side of the respective resonator. Further, at least one of the resonators, here resonator 51, is arranged and configured to have both ends 54 and

55 oriented toward the other resonator 52. A first end 54 of resonator 51 has a substantially larger interface to the adjacent resonator 52 than the second end 55 of the resonator 52. The primary coupling occurs between the first or larger interface end 54 of the resonator 51 to the adjacent resonator 52. The secondary coupling occurs between the second or smaller interface end 55 of the resonator 51 to the adjacent resonator 52. In this case, the secondary coupling is assisted with coupling strip 53. It will be appreciated that the primary coupling can be either capacitive or inductive, and the same applies for the secondary coupling.

In Fig. 5b, resonators 51' and 52' are shown, together with coupling strip 53'. In this figure, resonator 51' includes first end 54' and second end 55' which are located on the same side of the resonator 51' and toward second resonator 52'. However resonator 52' does not include a layout in which the first and second ends of the resonator are arranged on the same side of the resonator 52' (i.e., unlike second resonator 52 illustrated in Fig. 5a).

In Fig. 5c, resonators 51" and 52" are shown, together with coupling strip 53". In this figure, resonator 51" includes first end 54" and second end 55" which are located on the same side of the resonator 51" and toward second resonator 52". Again, resonator 52" does not include a layout in which the first and second ends of the resonator are arranged on the same side of the resonator 52" (i.e., unlike second resonator 52 illustrated in Fig. 5a). Additionally, an interdigitized capacitance arrangement is constructed between the coupling strip 53" and the first 51" and second resonator 52".

Figure 6, a 6-pole filter constructed including the principles of the present invention is shown. The cross coupling strip 61 between resonator 1 to resonator 3 and the cross coupling strip 62 between resonator 4 to resonator 6 are of similar type. However, due to different couplings between resonator 2 to resonator 3 from cross coupling strip 63, and between resonator 4 to resonator 5 from cross coupling strip 64, the actual cross couplings from 61 and 62 have opposite signs: one is positive and other is negative. As shown in Figure 7, transmission zero 71 is achieved by negative cross coupling between resonators 1 and 3 from 61 and 63, while transmission zero 72 is achieved by positive cross coupling between resonators 4 and 6 from 62 and 64.

As will be apparent to those of skill in the art, the principles of this style of cross coupling may also be used in environments in which other types of filter construction methodologies are employed. For example, the resonators described herein can be used with other types of resonators to achieve desired response shape, filter performance, layout, cost, etc. It will also be appreciated, that the principles of

this invention apply to control cross-coupling between non-adjacent resonant devices in order to improve filter performance.

5 It is to be understood that even though numerous characteristics and advantages of the present invention have been set forth in the foregoing description, together with details of the structure and function of the invention, the disclosure is illustrative only and changes may be made in detail. Other modifications and alterations are well within the knowledge of those skilled in the art and are to be included within the broad scope of the appended claims.